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3<sup>RD</sup> FUNDING ROUND

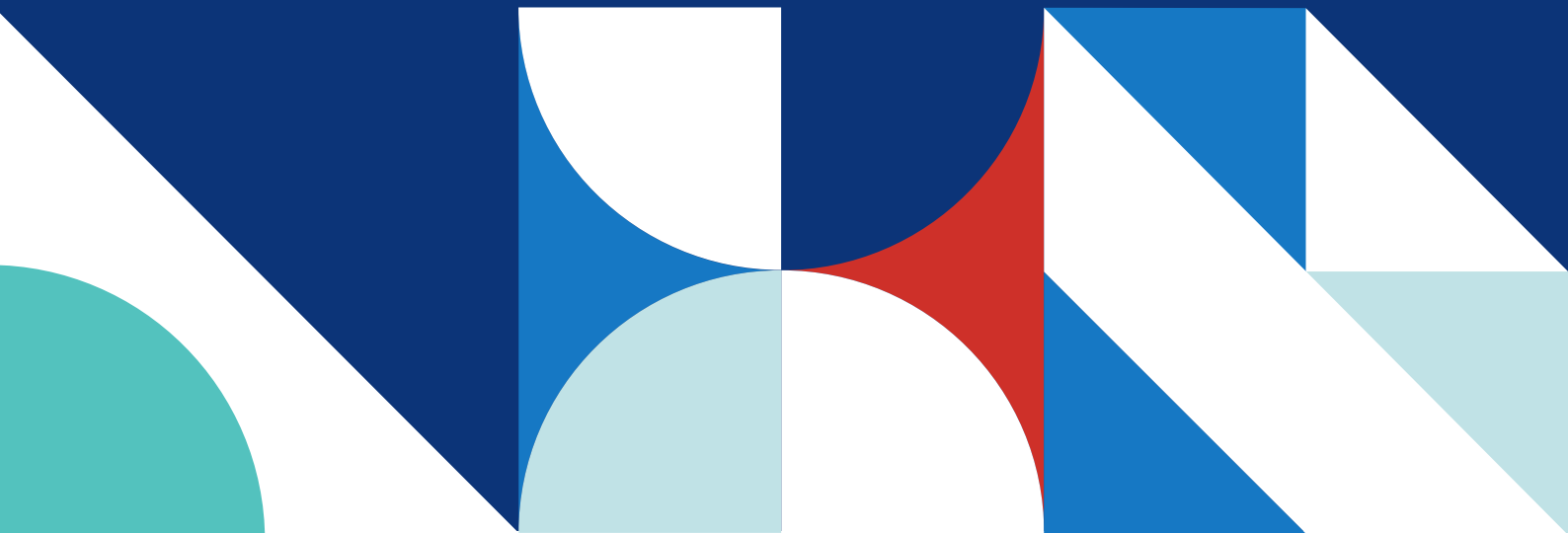
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CONFLICTS  
OF INTEREST  
PRINCIPLES

# CONFLICTS OF INTEREST PRINCIPLES

This document summarizes key PMI IMPACT Principles pertaining to Conflicts of Interest and aimed at ensuring that the Expert Council makes objective and unbiased decisions with respect to Applications. For a full description of Conflicts of Interest rules and the definitions of the terms used below, please see [Application Terms and Funding Rules](#) and [Grant Award Guidelines](#).

The existence of a Conflict of Interest does not necessarily mean that someone has done something wrong or that there is a problem. However, Conflicts of Interest must be identified, disclosed and managed carefully to avoid the harm they may cause to the fair and impartial selection of Applications. “Conflicts of Interest” are situations in which a person’s or organization’s objectivity in making decision of taking actions could, in the opinion of a reasonable person, be impaired by his/her/its personal interest. Personal interest can arise from many types of relationships, including family or political ties, financial investments, and personal interactions.



## KEY POINTS FOR EXPERTS:

- Experts' Conflicts of Interest with regard to the PMI IMPACT Grant award procedure may, arise for example, due to ties or relationships between the Experts and Applicants, or because of the subject matter of Applications.
- When reviewing each Application, the Experts are required to check if they have any actual, potential or apparent Conflict of Interest and disclose to the Secretary any situation that could be an actual, potential or apparent Conflict. The Secretary will then discuss the disclosed situation with the Expert and, in consultation with PMI Compliance personnel, determine whether there is a Conflict. If there is a Conflict, or, in some cases, if a situation may be perceived as a Conflict, the Expert shall withdraw from assessing the respective Application.

## KEY POINTS FOR APPLICANTS:

- Applicants' Conflicts of Interest with regard to Applications and Projects may arise, for example, due to ties or relationships between Applicants or persons involved in the implementation of the Project and PMI or between Applicants and Experts, or because of the subject matter of Applications.
- Applicants must not attempt to influence Experts concerning their Applications. If an Applicant does so, PMI may reject its Application.
- All the information Applicants need to know to apply for a Grant is published on the PMI IMPACT website. If Applicants have any questions on the process, they should contact the project office of PMI IMPACT at [impact@pmi.com](mailto:impact@pmi.com) and not an Expert.
- Applicants are required to disclose to PMI any actual, potential or apparent Conflict with respect to their Applications and participating in the PMI IMPACT Grant award procedure and send an e-mail, describing such Conflict, to the project office of PMI IMPACT at [impact@pmi.com](mailto:impact@pmi.com). By signing the Application Terms and Funding Rules, Applicants confirm that they have no actual, potential or apparent Conflicts or that they have already disclosed them.
- Not all apparent or potential Conflicts of Interest are actual Conflicts. On the other hand, some situations that constitute a Conflict of Interest may not be obvious. Applicants are encouraged to contact PMI via [impact@pmi.com](mailto:impact@pmi.com) to discuss their questions about whether a Conflict of Interest may exist. Applicants are also required to notify PMI immediately via [impact@pmi.com](mailto:impact@pmi.com) of any actual, potential or apparent Conflict of Interest of which they become aware during the Grant award procedure.
- PMI will review the disclosed situations and consider possible means of avoiding or addressing the Conflicts. Conflicts situations may be resolved and the Applications still selected.



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